

FIG. 2

FIG. 3

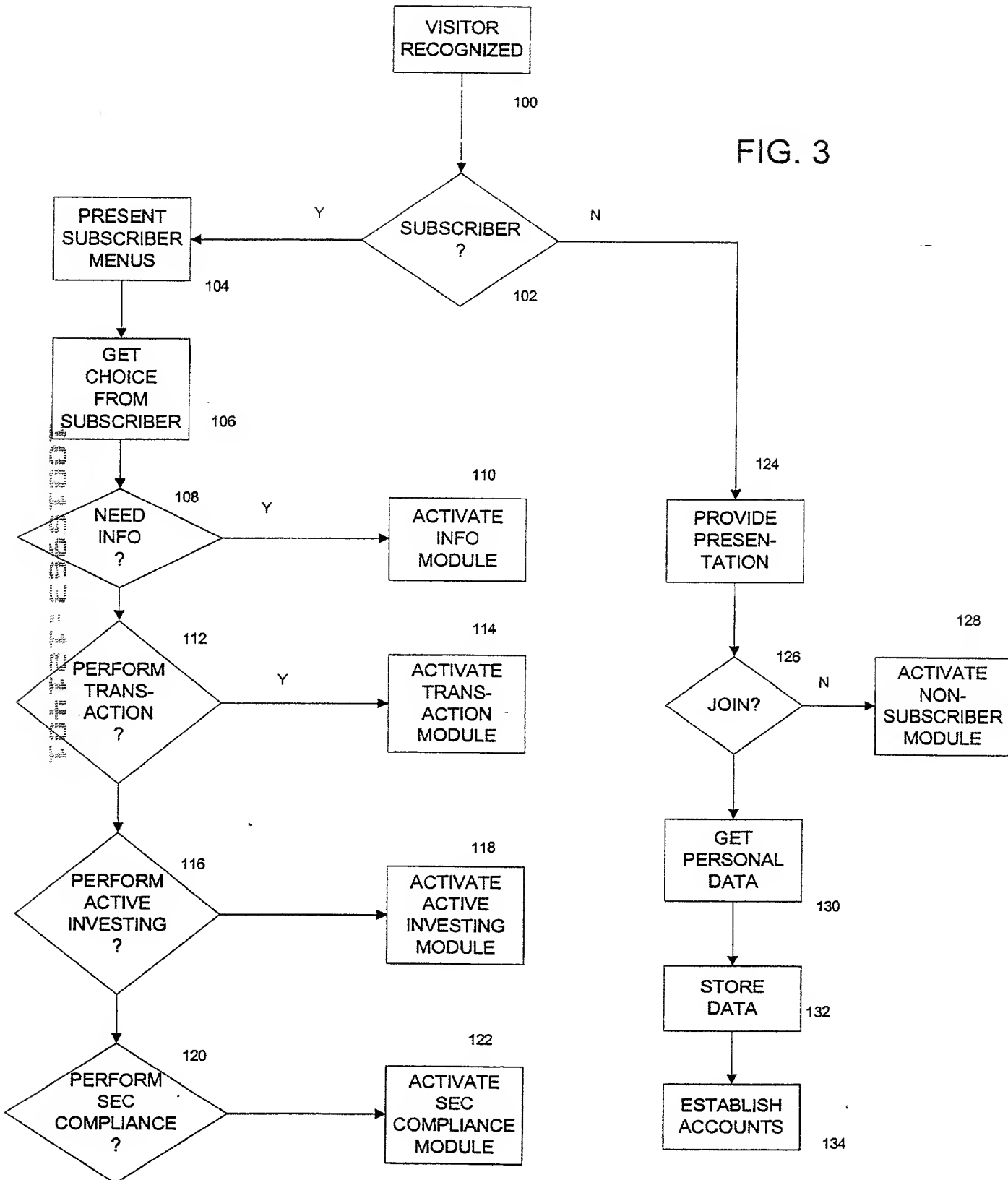


Figure 4

Parent Process		Sub-process			Agent	Entity	Attribute
P #	Process	Sub-process			Agent	Entity	Attribute
1.0.0	Engage site visit				Terminals	Visitor	screen name
1.1	Enable Subscriber access				Network	Subscriber	password
1.1.1	Gather data				DB-Server		
1.1.2	Verify data				Data Flow		
1.1.3	Authenticate password						
2.0.0	Invoke Active Investing				Chief Workstation	Chief	file type
2.1.0	Deliver moderated content information				Communication Com	Vendor1	news & analyses
2.1.1	Moderate and display content				Active Archive	TOS	correspondence & filings
2.1.2	Moderate and facilitate communication				Data Store	Disclosures	disclosure
2.1.3	Manage archive & document distribution				External Agent1	Agreements	
2.1.4	Access 3rd Party data					Commission schedules	
2.1.5	Subscribe & incorporate with reference					Licenses	
2.1.6	Search					Materials	
2.1.7	Deliver programmed content information					Programs	
2.1.8	Enable Network enrollment					Directories	
2.1.9	Offer to Visitors					Transcripts	
2.1.91	Visitor selections					Filings	
2.2.0	Perform Subscriber enrollment						Data Set2
2.2.1	Establish site disclosure & TOS						
2.2.2	Gather data						
2.2.3	Review & confirm Entity selections						
2.2.4	Establish subscription disclosure & TOS						
2.2.5	Authorize Name & Password						

Figure 4 (cont'd.)

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P #	Parent Process		Agent	Entity	Attribute
	Process	Sub-process			
2.2.6		Enable directory preferences	External Agent2	Vendor2	
2.2.7		Enable credit card billing & renewal			
2.2.8		Activate Subscriber			
2.2.9		Enable Subscriber access			
2.3.0		Perform Account enrollment	Transaction Bridge	Account	Data Set3
2.3.1		Gather data			
2.3.2		Confirm Entity & Attribute selections			
2.3.3		Review & confirm Account suitability			
2.3.4		Establish subscription disclosure & TOS		Clearing broker1	
2.3.5		Identification & Signature verification			
2.3.6		Authorize Name & Password			
2.3.7		Enable Account preferences			
2.3.8		Enable customer service access		Vendor3	Disclosure listing
2.3.9		Fund & activate Account			
2.3.91		Enable Account access			
2.4.0		Perform Observer enrollment			
2.4.1		Gather data		Observer	Data Set4
2.4.2		Review & confirm Entity & Attribute selections			
2.4.3		Review & confirm Observer suitability			
2.4.4		Establish Observer disclosure & TOS			
2.4.5		Identification & Signature verification			
2.4.6		Authorize Name & Password			
2.4.7		Enable Observer preferences			

Figure 4 (cont'd.)

Parent Process		Sub-process			Agent	Entity	Attribute
P #	Process	Sub-process			Agent	Entity	Attribute
2.4.8		Activate Observer					
2.4.9		Enable Observer access					
3.0.0	Perform Active Investing						
3.1.0	Access Active Investing module	Access & exchange information				Portfolio management system	
3.1.1		Access customer service					
3.1.2	Access Transaction module	Establish broker-dealer link				Reg. 17A trading system	
3.2.0		Display Account information				Trading broker	
3.2.1	Apply Active Investing Analytics	Execute transactions				Clearing broker	
3.2.2		Assess fees				Model portfolio	
3.3.0	Modify Account information & preferences	Terminate & withdraw Accounts				Index portfolio	
3.4.0		Access customer service					
3.4.1	Access Compliance module	Gather Account data				NASD Standards	
3.4.2		Evaluate data completeness and integrity				Federal Securities Laws	
3.4.3	Analyze and report Account suitability	Display Account information, history & preferences				Forms; SEC	
3.4.4		Calculate and display Account eligibility				Forms; Other	
3.5.0	Review and designate Account Compliance Bridge	Review and designate Account Compliance Bridge				Transaction records	
3.5.1		Enable Active Investing Account				Proxy (14-a) templates	
3.5.1.1						Concert (13-d) templates	
3.5.2							
3.5.2.1							
3.5.2.2							
3.5.2.3							
3.5.2.4							

Figure 4 (cont'd.)

Parent Process		Process				Agent	Entity	Attribute
P #		Sub-process						
3.5.3		Analyze Network Filing requirements					Legal counsel	
3.5.3.1		Review Active Investing Data Communication						
3.5.3.2		Aggregate Network investment Accounts						
3.5.3.3		Access public filing data						
3.5.3.4		Compute and compare investment thresholds and materiality for Network						
3.5.3.5		Identify Network filing requirements						
3.5.4		Schedule, prepare & review filings						
3.5.4.1		Access Account and other data, forms & templates						
3.5.4.2		Prepare filings						
3.5.4.3		Integrate 3rd party data						
3.5.4.4		Conduct fiduciary review						
3.5.5		Deliver filings						
3.5.5.1		Electronic delivery						
3.5.5.2		Conventional delivery						
3.5.6		Access customer service						
N#		Notes						
1		Agent links are cumulatively employed performing processes						
2		Further sub-processes established for proprietary, commercial and open software integration						
3		Further sub-processes established for regulatory and commercial circumstances						
4		Status designations entail electronic and fiduciary review						

FIGURE 4A

	Parent Process					
		Process			Agent	Menu
P#			Sub-process			Range
1.0.0	Engage site visit					
1.1		Enable Subscriber access			Terminals	
1.1.1			Gather data		Network	
1.1.2			Verify data		DB-Server	
1.1.3			Authenticate password		Data Flow	
2.0.0	Invoke Active Investing					
2.1.0		Deliver moderated content information			Chief Workstation	
2.1.1			Moderate and display content		Communication System	
2.1.2			Moderate and facilitate communication		Data Store	
2.1.3			Manage archive & document distribution		External Agent1	
2.1.4		Access 3rd Party data				
2.1.5			Subscribe & incorporate with reference			
2.1.6			Search			
2.1.7			Deliver programmed content information			
2.1.8		Enable Network enrollment				
2.1.9			Offer to Visitors			
2.1.91			Visitor selections			
2.2.0		Perform Subscriber enrollment				
2.2.1			Establish site disclosure & TOS			
2.2.2			Gather data			
2.2.3			Review & confirm Entity selections			
2.2.4			Establish subscription disclosure & TOS			
2.2.5			Authorize Name & Password			
2.2.6			Enable directory preferences			
2.2.7			Enable credit card billing & renewal			External Agent2
2.2.8			Activate Subscriber			
2.2.9			Enable Subscriber access			
2.3.0		Perform Account enrollment				
2.3.1			Gather data		Transaction Bridge	
2.3.2			Confirm Entity & Attribute selections			
2.3.3			Review & confirm Account suitability			
2.3.4			Establish subscription disclosure & TOS			
2.3.5			Identification & Signature verification			



FIGURE 4B

	Parent Process				Agent	Menu
		Process				
P#			Sub-process			Range
2.3.6			Authorize Name & Password			
2.3.7			Enable Account preferences			
2.3.8			Enable customer service access			
2.3.9			Fund & activate Account			
2.3.91			Enable Account access			
2.4.0		Perform Observer enrollment				
2.4.1			Gather data			
2.4.2			Review & confirm Entity & Attribute selections			
2.4.3			Review & confirm Observer suitability			
2.4.4			Establish Observer disclosure & TOS			
2.4.5			Identification & Signature verification			
2.4.6			Authorize Name & Password			
2.4.7			Enable Observer preferences			
2.4.8			Activate Observer			
2.4.9			Enable Observer access			
3.0.0	Perform Active Investing					
3.1.0		Access Active Investing module			Portfolio management system	
3.1.1			Access & exchange information			
3.1.2			Access customer service			
3.2.0		Access Transaction module			Reg. 17A trading system	
3.2.1			Establish broker-dealer link			
3.2.2			Display Account information			
3.3.0			Apply Attitude Investing Analytics			
3.4.0			Execute transactions			
3.4.1			Assess fees			
3.4.2			Modify Account information & preferences			
3.4.3			Terminate & withdraw Accounts			
3.4.4			Access customer service			
3.5.0		Access Compliance module				
3.5.1			Gather Account data			
3.5.1.1			Evaluate data completeness and integrity			
3.5.2			Analyze and report Account suitability			
3.5.2.1			Display Account information, history & preferences			
3.5.2.2			Calculate and display Account eligibility			

FIGURE 5A

# ActiveInvesting.com

Affiliate of Matrix Capital Group, Inc.

Owner/Publisher

HFW LLC

Investment Advisor

David Lang

## Active Investing Archive

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>source</u>	<u>topic</u>	<u>moderator note</u>	<u>file type</u>	<u># of hits &amp; posts</u>
1/3/02			David Lang, Chief	Risk Advisory	Please read!	MS.net	x1.y1
1/3/02	XYZ	betaTarget	Warrior1	Letter to Horst Bucholz, betaTarget CEO	First Notice	MS.net	x1.y1
5/14/01	xyz	betaTarget	Warrior1	betaTarget June 30, 2001 analysis	electronics don't connect	MS.net	x1.y1
3/14/01	xyz	betaTarget	Warrior1	betaTarget March 30, 2001 analysis	dismal reading	MS.net	x1.y1
1/3/02	xyz	betaTarget	Warrior1	beta campaign	Strategic Investment opportunity	MS.net	x1.y1
			Warrior1	Active Investing list	The Field	MS.net	x1.y1
			Warrior1	Active Investing strategy	Overview	MS.net	x1.y1
			David Lang, Chief	Network Subscriber directory	The Club	MS.net	x1.y1
			David Lang, Chief	Network Terms of Service	The Rules	MS.net	x1.y1
			David Lang, Chief	About Warrior1 @HedgeFundWarrior.Com	The History	MS.net	x1.y1
10/7/01			David Lang, Chief	About HedgeFundWarrior.com	The Site for Active Investing	MS.net	x1.y1
10/7/01							

<u>file type</u>	<u># of hits &amp; posts</u>
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1
MS.net	x1.y1

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## Archive Search

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>source</u>	<u>topic</u>	<u>moderator note</u>	<u>file type</u>
↕	lookup		key word	key word	key word	menu
↕	lookup		key word	key word		

Search

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FIGURE 5B

# ActiveInvesting.com

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Owner/Publisher HFW LLC.

Investment Advisor David Lang

## Communication Command

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>moderator</u>	<u>topic</u>	<u>moderator note</u>
1/3/02			Warrior2	Risk Advisory	Please listen to Stonewall Jackson!!
4/15/01	adp	Automated Data Processing	ADP	ADP Investor Relations	useful web site
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign governance	securities attorney needed
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign agenda	proxy contest contemplated
1/3/02	abcd	ABCD Corp web site	abcd	Beta campaign target	pitiful content
			Sachem1	Active Investing today	wassup
			David Lang, Chief	Network Subscriber directory	The Club
			David Lang, Chief	Network Terms of Service	The Rules
10/7/01			David Lang, Chief	About Warrior1@HedgeFundWarrior.Com	The History
10/7/01			David Lang, Chief	About HedgeFundWarrior.com	The Site for Active Investing

<u>file type</u>	<u># of hits &amp; posts</u>
audio	x1,y1
link	x1,y1
MS.net	x1,y1
MS.net	x1,y1
link	x1,y1
MS.net	x1,y1
MS.net	x1,y1
MS.net	x1,y1
MS.net	x1,y1

## Command Search

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>moderator</u>	<u>topic</u>	<u>moderator note</u>	<u>file type</u>
↕	lookup	lookup	key word	key word	key word	menu

MyAccount

Search

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